

EUROPEAN COMMISSION EUROSTAT

Directorate F: Social statistics Unit F-3: Labour market statistics and lifelong learning

# Anonymisation method applied on SES microdata

-SES 2018 update-

Further to the work of a dedicated Task Force on 'Enterprise microdata anonymisation' set up by the Statistical Confidentiality Committee in 2007, generic solutions have been proposed for the anonymisation of enterprise data. On this basis, Eurostat commissioned a study to analyse how these general rules could be adapted to SES 2002 and SES 2006 data. The final report including a set of detailed anonymisation criteria was released in early 2008 and used as a basis to anonymise SES 2002 and SES 2006 data (see: Anonymisation of SES Microdata – Final document; J. Domingo-Ferrer and J. M. Mateo-Sanz, March 2008 in LAMAS document 5.2 of Eurostat/F2/LAMAS/36/08<sup>1</sup>).

General rules applied in anonymisation of SES (all vintages) microdata are as follows:

- ✓ Recodifying the categorical quasi-identifiers NACE, NUTS and SIZE of the enterprise in order to yield ratios between the number of sensitive combinations NACE-NUTS-SIZE (defined as those for which less than 3 enterprises exist in the member state's samples) and the total number of combinations in the SES lower than the threshold of 10% in a high majority of cases. The resulting codification mixes NACE sections, sub-sections or divisions and NUTS 0 or 1 levels as well as a maximum of 3 size classes (<50, 50 to 249 and 250+), depending on Member States;
- ✓ Removing Citizenship and performing global recoding on the age variable (2.2) to restrict its values to six intervals 14-19, 20-29, 30-39, 40-49, 50-59, 60+;
- ✓ Ensuring an additional protection of employees using unconstrained individual ranking micro-aggregation for SES metric variables (absence days and earnings) by groups of (at least) 3 employees. This means that the latter variables are averaged for categories/combinations that include less than 3 employees in order to hide the information relating to individuals – variables for which micro-aggregation is applied are:

| Variable<br>code | Variable label   |
|------------------|--|
| B33              | Annual days of holiday leave (in full days)                                |
| B34              | Other annual days of paid absence  |
| B41              | Gross annual earnings in the reference year                                |
| B411             | Annual Bonuses and allowances not paid at each pay period                  |
| B42              | Gross earnings in reference month  |
| B421             | Earnings related to overtime   |
| B422             | Special payments for shift work  |
| B43              | Average gross hourly earnings in the reference month (to 2 decimal places) |

✓ Suppressing the grossing-up factor for local units and removing KEY\_B identifying the enterprise.

In addition, further anonymisation has been applied for some Member States (see Annex 1). This methodology ensures both the anonymisation of employees and local unit data.

<sup>&</sup>lt;sup>1</sup> https://circabc.europa.eu/sd/d/05e00334-77ac-435c-9deb-

<sup>61</sup>a841fd7c08/LAMAS\_36\_08\_ITEM\_5%202\_Anonymisation%20SES%20microdata.pdf

Following countries used their own national anonymisation methods (as described in document attached to each respective country anonymised microdata):

- ✓ the Netherlands for SES 2002 and 2006
- ✓ Germany for SES 2006, 2010, 2014 and 2018
- ✓ Italy for SES 2002, 2006 and 2010
- ✓ Latvia for SES 2014
- ✓ Spain for SES 2018

#### SES 2014 update

In the case of SES 2014 data, Eurostat took the option of minimizing the changes in the anonymisation methodology, as it was also the case for SES 2010 data. The aim was to reach consensus among Member States and facilitate the joint use of 2002, 2006, 2010 and 2014 data by researchers wanting to make time comparisons.

However, it was necessary to adapt the methodology with respect to NACE recoding in order to accommodate the shift from NACE Rev. 1 that was used for SES 2002 and SES 2006, to NACE Rev. 2 used for SES 2010. There again, it was chosen to remain as consistent as possible with the NACE regroupings used in previous waves in order to facilitate comparisons over time.

The table in Annex 2 shows how NACE sections have been grouped into aggregates in the case of NACE-rev1 and NACE-rev2.

#### SES 2018 update

As agreed at October 2020 Labour Market Statistics working group meeting Eurostat applied the same anonymization methodology on SES 2018 data as for SES 2014.

### ANNEX 1

# Additional country-specific rules used for the anonymisation of SES microdata

1) All countries:

- ✓ Remove KEY\_B
- ✓ Remove A41 (A51)
- ✓ A12 (SIZE): CY, LU and MT = All EE (All, <50 and 50+)</li>

# 2) BE:

- ✓ ISCO 2 digits only (B23)
- ✓ Length of service in the enterprise (B26) if more than 40 then B26=40+
- ✓ Number of employees in local unit (A16) = missing
- ✓ For those cases NUTS × NACE × SIZE with less than 3 local units A12 recoded to 4 4; consequently A12\_class is recoded with ALL
- ✓ For economic and financial control (A14), have it missing for local units of enterprises GT\_250 in economic activities (A13) where there is less than 3 enterprises with public control (A14 = A)
- ✓ For some NACE groups (B\_35\_to\_36, 16\_to\_18\_58\_to\_60, 53\_61\_to\_63\_79 and 64\_to\_66\_69\_80), A14 = missing.

# 3) BG:

- ✓ NUTS (All) = BG (no region) for SES 2002
- ✓ For some specific combinations of NACE x Economic Control × SIZE × NUTS, then anonymise SIZE (A12) = '3' or '4' and NUTS (A11) = BG and/or Economic Control (A14) = missing
- ✓ A16 (optional variable) to be deleted

#### 4) DK:

- ✓ Following aggregations of NACE groups (A13):
  - NACE Rev.2: 10\_to\_13
  - NACE Rev.2: 14\_to\_17

#### 4) EE:

- ✓ Following aggregations of NACE groups (A13):
  - NACE Rev.2: 19\_20\_22 + 21
- $\checkmark$  For economic activity NACE 64 remove the value of variable A14

#### 5) ES:

- ✓ For those cases NUTS × NACE × SIZE with less than 3 local units then replace by NUTS × NACE × [SIZE (A12) = 4 (all)]
- For some specific combinations of NACE × NUTS, then anonymise SIZE (A12) = 'ALL'

#### 6) EL:

✓ For those cases where NUTS = 'EL3' or 'EL4' replace by All = 'ELX' and NUTS = 'EL5' or 'EL6' replace by All = 'ELZ'

7) MT:

- ✓ Following aggregations of NACE groups (A13):
  - C+E (NACE Rev.2: B\_35\_36)
  - DM+DN (NACE Rev.2: 29\_to\_32)
  - o 20+21+22 (NACE Rev.2: 16\_to\_18\_58\_to\_60)
  - o 30+31+32+33 (NACE Rev.2: 21\_26\_27\_33)

8) LU:

- ✓ Following aggregations of NACE groups (A13):
  - C+E (NACE Rev.2: B\_35\_36)
  - DM+DN (NACE Rev.2: 29\_to\_32)
  - 20+21+22 (NACE Rev.2: 16\_to\_18\_58\_to\_60)
  - o 30+31+32+33 (NACE Rev.2: 21\_26\_27\_33)
- ✓ Remove KEY\_L
- ✓ Remove A14
- ✓ Recode A15 into Yes/No
- ✓ A17 (affiliation to a group) to be deleted
- ✓ 2002 only : A18 and A19 to be deleted

#### 9) LV:

- ✓ Following aggregations of NACE groups (A13):
  - 17+18+19 (NACE Rev.2: 13\_to\_15)
  - DF+DG+DH+DI (NACE Rev.2.: 19\_20\_22)
  - 33+DM (NACE Rev.2: 21\_26\_27\_33)
  - DJ+DK (NACE Rev.2; 24\_25)

#### 10) PT:

- ✓ Following aggregations of NACE groups (A13):
  - C+E (NACE Rev.2: B\_35\_36)
  - o 30+31+32+33 (NACE Rev.2: 21\_26\_27\_33)
- ✓ NUTS (A11) = PT (no region)
- ✓ ISCO 2 digits only (B23)
- ✓ Length of service in the enterprise (B26) in 3 groups < 35, 35-39, 40+
- ✓ Micro-aggregation: no change

11) FI:

- ✓ Remove KEY\_L
- ✓ ISCO 2 digits only
- ✓ NUTS (All) = FI (no region)

#### 12) HR:

- ✓ Following aggregations of NACE groups (A13):
  - C+E (NACE Rev.2: B\_35\_36)
  - DM+DN (NACE Rev.2: 29\_to\_32)
  - 20+21+22 (NACE Rev.2: 16\_to\_18\_58\_to\_60)
  - o 30+31+32+33 (NACE Rev.2: 21\_26\_27\_33)

#### 13) MK:

- ✓ Following aggregations of NACE groups (A13):
  - C+E (NACE Rev.2: B\_35\_36)
  - DM+DN (NACE Rev.2: 29\_to\_32)
  - 20+21+22 (NACE Rev.2: 16\_to\_18\_58\_to\_60)
  - 30+31+32+33 (NACE Rev.2: 21\_26\_27\_33)
- ✓ A12 (SIZE) = AII

#### 14) NO:

✓ Delete information in variable (A13) for all sensitive combinations.

#### 15) SI:

- ✓ Remove KEY\_L
- ✓ B26: top coding at including 40+; all employees have 40+
- ✓ B32: top coding for 300 or more: calculate an average of employees >= 300; all employees >= 300 have the same calculated average
- ✓ B321: top coding for 120 or more: calculate an average of employees >= 120; all employees >= 120 have the same calculated average
- ✓ B41: top coding above EUR 200,000; calculate an average of employees above EUR 200,000; all employees above EUR 200,000 have the same calculated average
- ✓ B411 top coding above EUR 50,000; calculate an average of employees above EUR 50,000; all employees above EUR 50,000 have the same calculated average
- ✓ B42 top coding above EUR 14,000; calculate an average of employees above EUR 14,000; all employees above EUR 14,000 have the same calculated average
- ✓ B421 top coding above EUR 2,500; calculate an average of employees above EUR 2,500; all employees above EUR 2,500 have the same calculated average
- ✓ B422 top coding above EUR 2,000; calculate an average of employees above EUR 2,000; all employees above EUR 2,000 have the same calculated average
- ✓ B43 must be recalculated based on above mentioned rules for B42 and B32 (B43 = B42/B32)
- ✓ Following aggregations of NACE groups (A13):

| NACE Rev.2: C10 to C13                                      | 10_to_13                      |
|---|-------------------------------|
| NACE Rev.2: C14 + C15                                       | 14_15                         |
| NACE Rev.2: C16 to C18 + J58 to J60 + C21 + C26 + C27 + C33 | 16_to_18_58_to_60_21_26_27_33 |
| NACE Rev.2: C19 + C20 + C22                                 | 19_20_22                      |
| NACE Rev.2: C23 to C25                                      | 23_to_25                      |
| NACE Rev.2: C28   | 28                            |
| NACE Rev.2: C29 to C32                                      | 29_to_32                      |
| NACE Rev.2: E37 to E39                                      | 37_to_39                      |
| NACE Rev.2: G45 + G46                                       | 45_46                         |
| NACE Rev.2: G47   | 47                            |
| NACE Rev.2: H49 to H52                                      | 49_to_52                      |
| NACE Rev.2: H53 + J61 to J63 + N79                          | 53_61_to_63_79                |

| NACE Rev.2: K + M69 + N80               | 64_to_66_69_80    |
|---|-------------------|
| NACE Rev.2: L + M72 to M74 + N77 + S95  | 68_72_to_74_77_95 |
| NACE Rev.2: M70 + M71 + N78 + N81 + N82 | 70_71_78_81_82    |
| NACE Rev.2: M75 + Q                     | 75_86_to_88       |
| NACE Rev.2: R + S96                     | 90_to_93_96       |
| NACE Rev.2: S94                         | 94                |
| NACE Rev.2: B + D + E36                 | B_35_36           |
| NACE Rev.2: F                           | F                 |
| NACE Rev.2: I                           | 1                 |
| NACE Rev.2: O                           | 0                 |
| NACE Rev.2: P                           | Р                 |

16) IS: ✓ Remove KEY\_L ✓ Remove A16

# ANNEX 2: Codification of SES in NACE Rev. 1.1 (2002 and 2006) and NACE Rev. 2 (2010 and 2014)

|  | Rev.1.1                               | Rev. 2      |   |
|--|---------------------------------------|-------------|---|
| NACE REV.1.1 (2-digit)                                   | recoding                              | recoding    | NACE REV.2 (2-digit)  |
| 10 Mining of coal and lignite; extraction of peat        |                                       |             | 5 Mining of coal and lignite                                |
| service activities incidental to oil and gas extraction, |                                       |             |   |
| excluding surveying                                      |                                       |             | 6 Extraction of crude petroleum and natural gas             |
| 12 Mining of uranium and thorium ores                    | с                                     | В           | -   |
| 13 Mining of metal ores                                  |                                       |             | 7 Mining of metal ores                                      |
| 14 Other mining and quarrying                            |                                       |             | 8 Other mining and quarrying                                |
| -  |                                       |             | 9 Mining support service activities                         |
| 15 Manufacture of food products and beverages            |                                       |             | 10 Manufacture of food products                             |
| -  |                                       |             | 11 Manufacture of beverages                                 |
| 16 Manufacture of tobacco products                       | DA                                    | 10 to 12    | 12 Manufacture of tobacco products                          |
| 17 Manufacture of textiles                               | 17                                    | 13          | 13 Manufacture of textiles                                  |
| 18 Manufacture of wearing apparel; dressing and dyeing   |                                       |             |   |
| of fur   |                                       |             | 14 Manufacture of wearing apparel                           |
| 19 Tanning and dressing of leather; manufacture of       |                                       |             |   |
| luggage, handbags, saddlery, harness and footwear        | 18,19                                 | 14 to 15    | 15 Manufacture of leather and related products              |
| 20 Manufacture of wood and of products of wood and       | , , , , , , , , , , , , , , , , , , , |             | 16 Manufacture of wood and of products of wood and cork,    |
| cork, except furniture; manufacture of articles of straw |                                       |             | except furniture; manufacture of articles of straw and      |
| and plaiting materials                                   |                                       |             | plaiting materials  |
| 21 Manufacture of pulp, paper and paper products         | 20.21                                 | 16 to 17    | 17 Manufacture of paper and paper products                  |
| 22 Publishing, printing and reproduction of recorded     |                                       |             | 18 Printing and reproduction of recorded media              |
| media  |                                       |             | 58 Publishing activities                                    |
|  |                                       |             | 59 Motion picture, video and television programme           |
| -  |                                       |             | production, sound recording and music publishing activities |
| -  | 22                                    | 18 58 to 60 | 60 Programming and broadcasting activities                  |
| 23 Manufacture of coke, refined petroleum products       |                                       | 10_00_00_00 |   |
| and nuclear fuel   |                                       |             | 19 Manufacture of coke and refined petroleum products       |
| 24 Manufacture of chemicals and chemical products        | DF, DG, DH                            | 19_20_22    | 20 Manufacture of chemicals and chemical products           |
| 25 Manufacture of rubber and plastic products            |                                       |             | 22 Manufacture of rubber and plastic products               |
|  |                                       |             |   |
| 26 Manufacture of other non-metallic mineral products    | DI                                    | 23          | 23 Manufacture of other non-metallic mineral products       |
| 27 Manufacture of basic metals                           |                                       |             | 24 Manufacture of basic metals                              |
| 28 Manufacture of fabricated metal products, except      |                                       |             | 25 Manufacture of fabricated metal products, except         |
| machinery and equipment                                  | DI                                    | 24 to 25    | machinery and equipment                                     |
| 29 Manufacture of machinery and equipment not            |                                       |             |   |
| elsewhere classified (n.e.c)                             | DK                                    | 28          | 28 Manufacture of machinery and equipment n.e.c.            |
| 30 Manufacture of office machinery and computers         |                                       |             | 26 Manufacture of computer electronic ontical products      |
| 31 Manufacture of electrical machinery and apparatus     |                                       |             | 20 Manufacture of computer, electronic, optical products    |
| n e c  |                                       |             | 27 Manufacture of electrical equipment                      |
| 32 Manufacture of radio television and communication     |                                       |             |   |
| equipment and apparatus                                  | 20 21 22                              | 26 to 27 22 | 33 Renair and installation of machinery and equipment       |
| 33 Manufacture of medical precision and optical          | 30,31,32                              | 20_10_27_33 | 21 Manufacture of basic pharmaceutical products and         |
| instruments, watches and clocks                          | 33                                    | 21          | nharmaceutical preparations                                 |
|  |                                       | 21          |   |
| 34 Manufacture of motor vehicles, trailers/semi-trailers |                                       |             | 29 Manufacture of motor vehicles, trailers / semi-trailers  |
| 35 Manufacture of other transport equipment              | DM                                    | 29_to_30    | 30 Manufacture of other transport equipment                 |
| 36 Manufacture of furniture; manufacturing n.e.c.        |                                       |             | 31 Manufacture of furniture                                 |
| 37 Recycling   | DN                                    | 31_to_32    | 32 Other manufacturing                                      |
| 40 Electricity, gas, steam and hot water supply          |                                       |             | 35 Electricity, gas, steam and air conditioning supply      |
| 41 Collection, purification and distribution of water    | E                                     | 35_to_36    | 36 Water collection, treatment and supply                   |

| 45 Construction   |             |                      | 41 Construction of buildings                                   |
|---|-------------|----------------------|--|
| -   | -           |                      | 42 Civil engineering   |
| -   | F           | F                    | 43 Specialised construction activities                         |
| 50 Sale, maintenance and repair of motor vehicles and       |             |                      | 45 Wholesale and retail trade and repair of motor vehicles     |
| motorcycles; retail sale of automotive fuel                 |             |                      | and motorcycles  |
| 51 Wholesale trade and commission trade, except of          |             |                      | 46 Wholesale trade, except of motor vehicles and               |
| motor vehicles and motorcycles                              | 50 51       | 45 to 46             | motorcycles  |
| 52 Retail trade, except of motor vehicles and               | 50,51       | 45_10_40             |  |
| motorcycles: repair of personal and household goods         | 52          | 47                   | 47 Retail trade, except of motor vehicles and motorcycles      |
| 55 Hotels and restaurants                                   | 52          | 47                   | 55 Accommodation   |
|   |             |                      | 55 Accommodation   |
| CO Lond American to the second site of a site of the second | н           | 1                    | 10 Lond the second tensor and the second tensor                |
| 60 Land transport; transport via pipelines                  | -           |                      | 49 Land transport and transport via pipelines                  |
| 61 Water transport  |             |                      | 50 Water transport   |
| 62 Air transport  | -           |                      | 51 Air transport   |
| -   | 60,61,62    | 49_to_52             | 52 Warehousing and support activities for transportation       |
| 63 Supporting and auxiliary transport activities;           |             |                      | 79 Travel agency, tour operator and other reservation          |
| activities of travel agencies                               | -           |                      | service and related activities                                 |
| 64 Post and telecommunications                              | -           |                      | 53 Postal and courier activities                               |
| -   | -           |                      | 61 Telecommunications  |
|   |             |                      | 62 Computer programming, consultancy and related               |
| -   |             |                      | activities   |
| -   | 63,64       | 53_61_to_63_79       | 63 Information service activities                              |
| 65 Financial intermediation, except insurance and           |             |                      | 64 Financial service activities, except insurance and pension  |
| pension funding   |             |                      | funding  |
| 66 Insurance and pension funding, except compulsory         |             |                      | 65 Insurance, reinsurance and pension funding, except          |
| social security   |             |                      | compulsory social security                                     |
|   |             |                      | 66 Activities auxiliary to financial services and insurance    |
| 67 Activities auxiliary to financial intermediation         |             |                      | activities   |
| -   | -           | 64 to 66 69          | 69 Legal and accounting activities                             |
| 70 Real estate activities                                   |             | 04_(0_00_03          | 68 Real estate activities                                      |
| 70 Real estate activities                                   |             |                      | 77 Pontal and loasing activities                               |
| anaratar and of personal and beyoshold goods                |             |                      | 77 Relital and leasing activities                              |
| 72 Computer and related activities                          | -           |                      | 72 Scientific research and development                         |
| 72 Computer and related activities                          | -           |                      | 72 Scientific research and development                         |
| 73 Research and development                                 | 70 74 70 70 | co 70 · 74 77        | 73 Advertising and market research                             |
| -   | /0,/1,/2,/3 | 68_72_to_74_77       | 74 Other professional, scientific and technical activities     |
|   |             |                      | 70 Activities of head offices; management consultancy          |
| 74 Other business activities                                |             |                      |  |
|   |             |                      | /1 Architectural and engineering activities; technical testing |
|   | -           |                      | and analysis   |
| -   | -           |                      | 78 Employment activities                                       |
|   | -           |                      | 80 Security and investigation activities                       |
| -   | -           |                      | 81 Services to buildings and landscape activities              |
|   |             |                      | 82 Office administrative, office support and other business    |
| -   | 74          | 70_to_71_78_80_to_82 | support activities   |
| 75 Public administration and defence; compulsory social     |             |                      | 84 Public administration and defence; compulsory social        |
| security  | L           | 0                    | security   |
| 80 Education  | м           | Р                    | 85 Education   |
| 85 Health and social work                                   |             |                      | 86 Human health activities                                     |
| -   |             |                      | 87 Residential care activities                                 |
| -   |             |                      | 88 Social work activities without accommodation                |
| -   | N           | 86_to_88_75          | 75 Veterinary activities                                       |
| 90 Sewage and refuse disposal, sanitation and similar       |             |                      | 37 Sewerage  |
| activities  |             |                      | 38 Waste collection, treatment and disposal activities:        |
|   |             |                      | materials recovery   |
|   | 1           |                      | 39 Remediation activities and other waste management           |
| -   | 90          | 37 to 39             | services   |
| 91 Activities of membership organizations n.e.c.            | 01          | 0/                   | 94 Activities of membership organisations                      |
| 02 Descentional automation descention and the statistics    | 91          | 34                   | 00 Creative arts and entertainments autoris                    |
| 92 Recreational, cultural and sporting activities           |             |                      | of Liberrise and entertainment activities                      |
| 95 Other service activities                                 | -           |                      | Pit Libraries, arcnives, museums, other cultural activities    |
| -   | -           |                      | 92 Gambling and betting activities                             |
| -   | -           |                      | 93 Sports activities, amusement and recreation activities      |
|   | -           |                      | 95 Kepair of computers and personal and household goods        |
| -   | 92,93       | 90_to_93_95_to_96    | 96 Other personal service activities                           |